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July 9, 2016

Dear Mr. Tull,

Subject: **Initiation Plan/PPG Chad: Chad National Adaptation Plan – PIMS No. 5431 - ATLAS BU: TCD10 - Proposal No.: 00096110 - Project No.: 00100100**

I am pleased to delegate to you as the UNDP Resident Representative the authority to sign the Initiation Plan for the above-mentioned Project Preparation Grant (PPG) on behalf of UNDP, and to commence development of the main project. The Initiation Plan, which amounts to a total of **US\$ 150,000**, has received its final approval in accordance with the established GEF procedures (CEO approval letter attached for ease of reference).

I would like to bring to your attention the new [GEF policy on cancellations](#). All Full Size Projects must receive CEO Endorsement no later than 18 months after the GEF Council Approval of the PIF. If the fully complete Project Document is not submitted to the GEF Secretariat for CEO Endorsement before the 18 month deadline, the project will be automatically cancelled. To meet this deadline, the fully complete Project Document must be submitted to the UNDP-GEF Unit for final technical and financial clearance within **16 months** of GEF Council Approval.

I am also pleased to provide a summary of the next steps in the process and to outline, for your easy reference, the mandatory GEF-specific project financial and results management requirements.

**Next steps and mandatory GEF-specific requirements:**

1. *Issuance of Authorized Spending Limit (ASL)*: To facilitate a quick start to the PPG, once the Initiation Plan is signed, please kindly ensure that the Atlas-generated *Annual Work Plan (AWP)* based on the *Total Budget and Annual Work Plan* in the attached document, along with a copy of the signed cover page, is sent to **Mr. Henry Rene Diouf**, Regional Technical Advisor (RTA) in **Addis Ababa**.

Any proposed budget revisions should be forwarded to the UNDP RTA together with a clear explanation of the changes proposed as any significant changes require review and approval. In addition, please note that UNDP-GEF is not in a position to increase the project budget above the amount already approved by the GEF Council. Therefore, any over-expenditure on this project would have to be absorbed by other Country Office resources.

**Mr. Stephen Tull**  
**Resident Representative**  
**UNDP N'Djamena**  
**CHAD**

## United Nations Development Programme

2. *Fee:* As an Implementing Agency of the GEF, UNDP earns a fee upon approval of each project which is to be used to cover specific project assurance and oversight costs incurred by UNDP. For the Country Office, these services are related to the provision of project cycle management services, as detailed in Annex 2.

The total fee over the lifetime of the above mentioned project for these Country Office support services will be **USD 4,687**. This fee will be paid directly by the UNDP-GEF Unit to the XB account of the Country Office, based on project delivery, audit compliance, and compliance with the GEF project management requirements outlined below.

As noted above, the GEF fee is provided to cover the project cycle management service indirect costs as detailed in Annex 2. At the Country Office level, project cycle management services performed by UNDP Country Offices are broadly analogous to General Management Support (GMS) and cover support to project development and implementation stages. The UNDP-GEF Unit will support the Country Office by providing a suite of specialized technical services as required by the GEF and detailed in Annex 2.

3. *GEF-specific project management requirements:*

- Any changes with respect to the objectives of the initiation plan will have to be discussed with and approved by the RTA, as they will have to be reported to the GEF.
- All efforts should be made to completely commit the GEF PPG resources by the time the project document is submitted to the GEF for CEO endorsement. A report on the use of the PPG resources is included in Annex C of the GEF CEO Endorsement template.
- If the PPG activities and resources have not been fully completed/committed by CEO endorsement, the same reporting table must be submitted with the first project implementation report (APR/PIR) due one year after project document signature.
- This preparatory phase must be operationally completed in Atlas once the main project is endorsed by the GEF CEO. Financial closure should follow within 12 months, but preferably within the same calendar year.
- In order to accord proper acknowledgement to the GEF for providing funding, full compliance is needed with the GEF's Communication and Visibility Guidelines (the "GEF Guidelines"). The GEF Guidelines can be accessed at: <https://www.thegef.org/gef/node/4373>. Full compliance is also required with UNDP's branding guidelines. The UNDP Brand Manual can be accessed via the UNDP Communications Toolkit: <https://intranet.undp.org/unit/pb/communicate/communicationstoolkit/Pages/default.aspx>

In concluding, I would like to assure you of UNDP-GEF's and my personal commitment to successful implementation of the Initiation Plan. The RTA is at your disposal for advice and technical support. Should you have any concerns or questions, please do not hesitate to contact me.

Yours sincerely,



Adriana Dinu  
Executive Coordinator  
UNDP-Global Environmental Finance  
Sustainable Development Cluster  
Bureau for Policy and Programme Support

## United Nations Development Programme

cc: Mr. Abdoulaye Mar Dieye, Assistant Administrator and Regional Director, RBA, NY  
Ms. Ruby Sandhu –Rojon – Deputy Regional Director, RBA, NY  
Mr. Lebogang Motlana, Director, RSC for Africa, Addis Ababa  
Mr. Gerd Trogemann, Deputy Director, RSC for Africa, Addis Ababa  
Natasha van-Rijn - Country Advisor, RBA, NY  
Ms. Alice Ruhweza, UNDP-GEF Technical Specialist/ Team Leader, RSC for Africa, Addis Ababa  
Silke Hollander, Country Support Team, RSC for Africa, Addis Ababa  
Hobah Rogoto, Assistant Resident Representative, UNDP N'Djamena, Chad  
Mr. Henry Rene Diouf, UNDP-GEF Regional Technical Advisor, Addis Ababa

Annex 1: CEO approval letter for PPG



**GLOBAL ENVIRONMENT FACILITY**  
INVESTING IN OUR PLANET

**Naoko Ishii**  
CEO and Chairperson

June 3, 2016

Ms. Adriana Dinu  
GEF Executive Coordinator  
United Nations Development Programme  
One United Nations Plaza  
304 East 45th St.  
FF Bldg., 10th floor  
New York, NY 10017

Dear Ms. Dinu:

I am pleased to inform you that I have cleared the project concept detailed below for LDCF/SCCF Council's review and consideration. I have also approved your request for project preparation grant.

Decision Sought:	Project Identification Form (PIF) Clearance for Council Approval and Project Preparation Grant (PPG) Approval
GEFSEC ID:	6968
Agency(ies):	UNDP
Agency ID:	5431 (UNDP)
Focal Area:	Climate Change
Project Type:	Full Size Project
Country(ies):	Chad
Name of Project:	Chad National Adaptation Plan
Indicative GEF Project Grant:	\$5,775,000
Indicative Agency Fee:	\$548,625
PPG Grant:	\$150,000
PPG Agency Fee:	\$14,250
Funding Source:	Least Developed Countries Fund

Break-down of Indicative Agency Fee				
Agency	Trust Fund	40% Fees to be committed at Council Approval	Fees to be committed at CEO Endorsement	Total (US\$)
UNDP	LDCF	\$219,450	\$329,175	\$548,625

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Ms. Adriana Dinu

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June 3, 2016

This PIF clearance and PPG approval is subject to the comments made by the GEF Secretariat in the attached project review document. It is also based on the understanding that the project is in conformity with LDCF focal areas strategies and in line with GEF/LDCF policies and procedures. Please ensure that your final project document, with all Secretariat and Council comments fully addressed, is submitted such that CEO endorsement can be provided within 18 months of Council approval of the PIF.

Sincerely,



Naoko Ishii  
Chief Executive Officer and Chairperson

Attachment: GEFSEC Project Review Document  
Copy to: Country Operational Focal Point, GEF Agencies, STAP, Trustee

**Annex 2: Project Cycle Management Services**

Stage	Country Office <sup>1</sup>	UNDP/GEF at regional and global level
<b>Project Development</b>	<i>Initiation Plan:</i> <ul style="list-style-type: none"> <li>• Coordination, management and financial oversight of UNDP Initiation Plan</li> <li>• Discuss management arrangements</li> </ul>	RTA and PA role: <ul style="list-style-type: none"> <li>• Assist in preparation of UNDP Initiation Plan</li> <li>• Technical support, backstopping and troubleshooting.</li> <li>• Support discussions on management arrangements</li> <li>• Facilitate issuance of DOA</li> </ul>
	<i>Project Document:</i> <ul style="list-style-type: none"> <li>• Support project development, assist proponent to identify and negotiate with relevant partners, co-financiers, etc.</li> <li>• Undertake environmental and social screening of project before PAC. Ensure Social and Environmental Standard Procedure (SESP) documentation is signed by the Resident Representative or Chair of PAC meeting and attached as Annex to the Project Document.</li> <li>• Review, appraise, and finalize Project Document.</li> <li>• Negotiate and obtain clearances and signatures – Government, UNDP, Implementing Partner, co-financiers, etc. Coordinate LPAC and document meeting decisions.</li> <li>• Respond to information requests, arrange revisions etc.</li> <li>• Prepare operational and financial reports on development stage as needed.</li> </ul>	RTA role: <ul style="list-style-type: none"> <li>• Sourcing of technical expertise.</li> <li>• Verification of technical reports and project conceptualization.</li> <li>• Guidance on SOF expectations and requirements.</li> <li>• Negotiate and obtain clearances by SOF</li> <li>• Respond to information requests, arrange revisions etc.</li> <li>• Quality assurance and due diligence.</li> </ul>
<p><i>Key UNDP/GEF management performance indicators/targets for Project Development:</i></p> <ol style="list-style-type: none"> <li>1. Time between PIF approval to CEO endorsement for each project:                             <ul style="list-style-type: none"> <li>• Target for GEF trust fund, LDCF, and SCCF projects: <b>FSP = 16 months or less, MSP 10 months or less.</b></li> </ul> </li> <li>2. Time between CEO endorsement to project document signature:                             <ul style="list-style-type: none"> <li>• Target = 2 months or less</li> </ul> </li> </ol>		

<sup>1</sup> As per UNDP POPP with additional SOF requirements where relevant.